SAH 10.4.01 PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralph A. Barrese, et al.

Docket: END920000071US1(13677)

Serial No.:

09/8,71557

Dated: May 4, 2001

Filed: I

Herewith 5.31.01

For: SELECTIVE SHIELD/MATERIAL FLOW MECHANISM

Assistant Commissioner for Patents

Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

- 1. U.S. Patent 5,985,123, issued November 16, 1999 to Koon;
- 2. U.S. Patent 5,776,327, issued July 7, 1998 to Botts, et al.;

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EK602286126US

Date of Deposit: 05/31/01

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: 5-31-01

 $g:\ibm\1110\13677\amend\13677.id1$

- 3. U.S. Patent No. 5,281,325, issued January 25, 1994 to Berg; and
- 4. U.S. Patent No. 4,304,641, issued December 8, 1981 to Grandia, et al.

Submitted with Japanese Patent No. JP 1-147627 is an English language abstract. All other references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. \$1.98(a)(3) is not necessary.

This Information Disclosure Statement is being submitted with the filing of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

John S. Sensny
Registration No. 28,757

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

JSS:gc